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## Transmittal Cover Sheet

**OFFICIAL****TO** Quyen Phan Leung – Commissioner for Patents**Company** USPTO**Fax Number** (703) 872-9306**Phone Number****FROM** Charles Berman, Esq.**File Number** 58027.011900**Comments**

- Amendment filed in response to Final Office Action dated October 8, 2003
- Fee Transmittal

**BEST AVAILABLE COPY**

Applicant: Coldren et al.	Examiner: Quyen Phan Leung
Serial No. 09/935,352	Group Art Unit: 2828
Filed: August 21, 2001	Docket No. 58027.011900
Title: A METHOD FOR APERTURING VERTICAL-CAVITY SURFACE-EMITTING LASERS (VCSELs)	

**Date** January 29, 2004**Time****No. Pages** Including this cover sheet 19

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2450 Colorado Ave., Suite 400E, Santa Monica, California 90404 (310) 586-7700 Fax (310) 586-7800

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Coldren *et al* Examiner: Leung, Quyen Phan  
Serial No. 09/935,352 Group Art Unit: 2828  
Filed: 08/21/2001 Docket No.: 58027-011900  
Title: A METHOD FOR APERTURING VERTICAL-CAVITY SURFACE-  
EMITTING LASERS (VCSELS)  
Customer No.: 33717

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OFFICIAL

## CERTIFICATE UNDER 37 CFR 1.6(d)

I hereby certify that this correspondence and identified enclosures are being transmitted via facsimile only to Examiner Quyen Leung, Art Unit 2828, Facsimile No. (703) 872-9306 on January 29, 2004.

  
Name: Kelly SimpsonAMENDMENT

MAIL STOP: FEE AMENDMENT  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

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Sir:


Responsive to the Office Action mailed October 8, 2003, kindly enter the following amendments:

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Coldren *et al* Examiner: Leung, Quyen Phan  
 Serial No. 09/935,352 Group Art Unit: 2828  
 Filed: 08/21/2001 Docket No.: 58027-011900  
 Title: A METHOD FOR APERTURING VERTICAL-CAVITY SURFACE-  
 EMITTING LASERS (VCSELs)  
 Customer No.: 33717

**CERTIFICATE UNDER 37 CFR 1.6(d)**

I hereby certify that this correspondence and identified enclosures are being transmitted via facsimile only to Examiner Quyen Leung, Art Unit 2828, Facsimile No. (703) 872-9306 on January 29, 2004.

  
 Name: Kelly Simpson

**FEE TRANSMITTAL**

MAIL STOP: FEE AMENDMENT  
 Commissioner for Patents  
 Post Office Box 1450  
 Alexandria, Virginia 22313-1450

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Sir/Madam:

We are transmitting herewith the attached:

☒ Amendment filed in response to Office Action dated October 8, 2003; and

**CLAIMS AS AMENDED**

Claims Remaining After Amendment		Highest Number Previously Paid For		Present Extra		Rate		Fee
Total Claims								
95	-	66	=	29	x	9.00	=	\$ 261.00
Independent Claims								
9	-	9	=	0	x	43.00	=	\$ 0.00
Subtotal								\$ 261.00
1 Month Extension Fee								55.00
<b>TOTAL FILING FEE</b>								<b>\$ 316.00</b>

Serial No. 09/935,352

PATENT  
Docket No. 58027-011900

Authorization is hereby given to charge the additional filing fee of \$ 316.00 to Deposit Account No. 50-2638. Further authorization is given to charge any additional fees or credit overpayment to Deposit Account No. 50-2638. Please reference Attorney Docket Number 58027-011900 when charging any payments or credits in connection with this application.

Respectfully submitted,

Date: January 29, 2004

Margo Maddux  
Margo Maddux  
Reg. No. 50,962

Customer Number 33717  
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